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STATEMENT UNDER 37 CFR 3.73(b)

Applicant: Seth Stern, et al.

Application No.: 09/993,384

Filed: November 16, 2001

Entitled: Methods and Devices for Dispersion-Controlled Transport or Processing of Polar or Polarizable Materials

Caliper Technologies Corp., a

corporation

(Name of Assignee)

(Type of Assignee, e.g., corporation, partnership, university, government agency, etc.)

states that it is:

1. ☒ the assignee of the entire right, title, and interest; or
2. ☐ an assignee of an undivided part interest

in the patent application identified above by virtue of either:

A. ☒ An assignment from the inventor(s) of the patent application identified above. The assignment was recorded in the Patent and Trademark Office at Reel __, Frame __, or for which a copy thereof is attached.

OR

B. ☐ A chain of title from the inventor(s), of the patent application identified above, to the current assignee as shown below:

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The undersigned (whose title is supplied below) is empowered to sign this statement on behalf of the assignee.

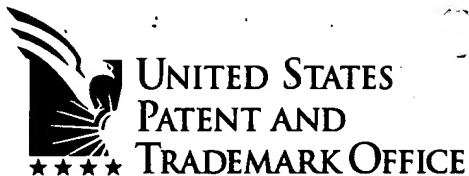
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Signature

J. WALLACE PARCE
Typed or printed name

VICE PRESIDENT OF RESEARCH
Title

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RECORDATION DATE: 02/15/2002

REEL/FRAME: 012654/0650
NUMBER OF PAGES: 3

BRIEF: ASSIGNMENT OF ASSIGNOR'S INTEREST (SEE DOCUMENT FOR DETAILS).

ASSIGNOR:
STERN, SETH

DOC DATE: 01/10/2002

ASSIGNOR:
BROOKS, CARLTON

DOC DATE: 01/24/2002

ASSIGNEE:
CALIPER TECHNOLOGIES CORP.
605 FAIRCHILD DRIVE
MOUNTAIN VIEW, CALIFORNIA 94043

SERIAL NUMBER: 09993384
PATENT NUMBER:

FILING DATE: 11/16/2001
ISSUE DATE:

JEEVON JONES, EXAMINER
ASSIGNMENT DIVISION
OFFICE OF PUBLIC RECORDS

03-13-2002



Attorney Docket No. 01-053120US

FORM PTO-1595
(Rev. 6-93)

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Patent and Trademark Office

To the Honorable Asst. Commissioner for Patents. Please record the attached original documents or copy thereof

1. Name of conveying party(ies):

Seth Stern
Carlton Brooks

Additional name(s) of conveying parties attached? No

2. Name and address of receiving party(ies)

Name: Caliper Technologies Corp.

Internal Address:

Street Address:

605 Fairchild Drive
Mountain View, CA 94043 USA

Additional names and addresses attached? No

3. Nature of conveyance:

- X Assignment Merger
- ☐ Security Agreement ☐ Change of Name
- ☐ Other:

Execution Date: January 10, 2002 and January 24, 2002

4. Application Number(s) or Patent Numbers.

If this document is being filed together with a new application, the execution date of the application is:

- A. Patent Application No(s): 09/993,384 B. Patent No(s):
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5. Name and address of party to whom correspondence concerning document should be mailed:

Name:

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P.O. Box 458
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(510) 337-7871

6. Total number of applications and patents involved 1

7. Total fee (37 CFR 3.41): -----\$40.00

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*To the best of my knowledge and belief, the foregoing is true and correct and any attached copy is a true of copy of the original document.*Christopher C. Sappenfield
Name of Person Signing

Signature

Date

Atty Reg. No. 45,073

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Attorney Docket No.: 01-053120US
Client Reference No.: 100/10210

ASSIGNMENT OF PATENT APPLICATION

JOINT

WHEREAS, Seth Stern, of 1534 Ernestine, Mountain View, CA 94040, Carlton Brooks of Menlo Park, CA, hereinafter referred to as "Assignors," are the inventors of the invention described and set forth in the below-identified application for United States Letters Patent:

Title of Invention: METHODS AND DEVICES FOR
 DISPERSION-CONTROLLED
 TRANSPORT OR PROCESSING OF
 POLAR OR POLARIZABLE
 MATERIALS

Filing Date: November 16, 2001

Application No.: 09/993,384 ; and

WHEREAS, Caliper Technologies Corp., a corporation, located at 605 Fairchild Drive

Mountain View, CA 94043 USA, hereinafter referred to as "ASSIGNEE," is desirous of acquiring an interest in the invention and application and in any U.S. Letters Patent and Registrations which may be granted on the same;

For good and valuable consideration, receipt of which is hereby acknowledged by Assignors, Assignors have assigned, and by these presents do assign to Assignee all right, title and interest in and to the invention and application and to all foreign counterparts (including patent, utility model and industrial designs), and in and to any Letters Patent and Registrations which may hereafter be granted on any patent application claiming priority from the same in the United States and all countries throughout the world, and which claim the priority from the application as provided by the Paris Convention. The right, title and interest is to be held and enjoyed by Assignee and Assignee's successors and assigns as fully and exclusively as it would have been held and enjoyed by Assignors had this Assignment not been made, for the full term of any Letters Patent and Registrations which may be granted thereon, or of any division, renewal, continuation in whole or in part, substitution, conversion, reissue, prolongation or extension thereof.

Assignors further agree that they will, without charge to Assignee, but at Assignee's expense, (a) cooperate with Assignee in the prosecution of U.S. Patent applications and foreign counterparts on the invention and any improvements, (b) execute, verify, acknowledge and deliver all such further papers, including applications and instruments of transfer, and (c) perform such other acts as Assignee lawfully may request to obtain or maintain Letters Patent and Registrations for the invention and improvements in any and all countries, and to vest title thereto in Assignee, or Assignee's successors and assigns.

Assignment
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IN TESTIMONY WHEREOF, Assignors have signed his/her name on the date indicated.

Dated: 1/19/02

Seth Stern
Seth Stern

Dated: 1/10/2002

Carlton Brooks
Carlton Brooks